

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number

10/796,292

Confirmation No. 9377

Applicant

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Kunwar Shailubhai et al.

Filed

:

Tech Cntr/AU

1614

_ .

Examiner

(unassigned)

March 10, 2004

Entitled

Method of Treating Cancer with Azaspirane `

Compositions

Attorney Reference

122069-40308707

Customer Number

43569

MAIL STOP MISSING PARTS

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. \$1.8

I hereby certify that the following papers are being transmitted by facsimile to Initial Patent Examination Division at the U.S. Patent and Trademark Office at (703) 746-4060 on the date shown below:

Response to Notice of Incomplete Reply dated August 25, 2004.

Respectfully submitted,

MAYER BROWN ROWE & MAW LLP

By: _

Kristi Hutchison

Intellectual Property Group Mayer Brown Rowe & Maw LLP 1909 K Street, N.W. Washington, D.C. 20006-1101 (202) 263-3000 Telephone (202) 263-3300 Facsimile

Date: September 24, 2004

TOTAL NUMBER OF PAGES IN FACSIMILE: 5

SEP 24 '04 20:34 FR MBR&M LLP P.03/05 000 TO 917037464060 PTO/SB/17 (10-03)
Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Act of 1995, no persons are required to respond to a collection of intormation unless it displays a valid OMB control number. BADEN Complete If Known TRANSMITTAL 10/796,292 Application Number Filing Date March 10, 2004 for FY 2004 Kunwar Shailubhai First Named Inventor Effective 10/01/2003. Palent fees are subject to annuel revision. Examiner Name unassigned Applicant claims small entity status. See 37 CFR 1.27 Art Unit unassioned TOTAL AMOUNT OF PAYMENT (\$) 1,660.00 Attorney Docket No. 121634-40308707 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Check Credit card Large Entity | Small Entity ✓ Deposit Account: Fee Code Fee (\$) Fee Description Dépôsit (\$) Code Fee Paid 503-121 Account 1051 130 2051 Surcharge - late filing fee or oath 130 Number Deposit 1052 50 2052 Surcharge - late provisional filing fee or cover sheet Mayer Brown Rowe & Maw Account Name 1053 1053 130 130 Non-English specification The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filling a request for ex parte reaxamination ✓ Charge fee(s) Indicated below Credit any overpayments 1804 920 1804 920* Requesting publication of SIR prior to Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge (se(s) indicated below, except for the filing fee 1805 1,840 1805 1.8401 Requesting publication of SIR after to the above-identified deposit account. 2251 1251 110 Extension for reply within first month **FEE CALCULATION** 210 Extension for reply within second month 1252 420 2252 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Paid Fee Fee Code (\$) Fee Description 1254 1,480 2254 740 Extension for reply within fourth month ode 75 2255 1,005 Extension for reply within fifth month 2.010 1255 1001 770 2001 Utility filing tee 385 1401 330 2401 165 Notice of Appeal 1002 340 2002 170 Design filing fee 1003 530 1402 330 2402 165 Filing a brief in support of an appeal 2003 265 Plant filing fee 1403 290 145 Request for oral hearing 1004 770 2004 385 Reissue filing too 2403 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1005 160 2005 80 Provisional filing fee

> 1452 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 770.00 1453 1.330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 2501 1,330 665 Utility issue fee (or reissue) Ext<u>ra Claim</u>s below 1502 2502 480 240 Design (saue fee Total Claims -20 1503 640 2503 320 Plant issue fee Independent 80 430 1460 130 1460 130 Petitions to the Commissioner Claims
> Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity | Small Entity 1805 180 180 Submission of Information Disclosure Stmt 1806 Fee Description Fee Fee Code (\$) Fee Fee Recording each patent assignment per 40 8021 40 8021 property (times number of properties) 1202 18 2202 Claims in excess of 20 365 Filing a submission after final rejection (37 CFF: 1.129(a)) 1809 770 2809 1201 AA 2201 43 Independent claims in excess of 3 1203 290 Multiple dependent claim, if not paid 385 For each additional invention to be examined (37 CFR 1.129(b)) 2203 145 770 1810 2810 Reissue independent daims 1204 86 2204 over original patent 2801 Request for Continued Examination (RCE) 1801 770 "Relssue claims in excess of 20 1205 2205 8 1802 900 1802 900 Request for expedited examination 18 and over original patent of a design application Other fee (specify) 720.00 (\$) SUBTOTAL (2) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) l(\$) 170.00 "or number previously paid, if greater; For Reissues, see above

> > WARNING: Information on this form may become public, Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

26,588

(Complete (# appucable))

Telephone 202 263-3325

August 2, 2004

If you need essistance in completing the form, cell 1-800-PTO-9199 and select option 2.

SUBMITTED BY

Name (Print/Type)

Signature

Richard A. Steinberg

luhard a Steenberg



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RESPONSE TO NOTICE OF INCOMPLETE REPLY

Sir:

In response to the Notice of Incomplete Reply (Nonprovisional) dated August 25, 2004 (copy attached) for the above-identified application, Applicants respectfully attach hereto a copy of the Fee Transmittal (PTO/SB/17) submitted with the Response to Notice to File Missing Parts on August 2, 2004. It is respectfully noted that the Fee Transmittal filed on August 2, 2004 authorizes the Commissioner to charge any additional fees or underpayment of fees to Deposit Account No. 503-121.

Applicants reiterate authorization to charge the requisite fees, including the \$72.00 fee for four (4) claims over twenty (Fee Code 1202), to Deposit Account No. 503-121.

Although Applicants believe that an extension of time fee is not necessary in that the fee authorization was originally granted with the Response filed on August 2, 2004, any government fees not specifically authorized herein which are necessary for the completion of the above-identified application may be charged to Deposit Account 503-121.

If there are any questions, please contact the undersigned attorney.

Respectfully submitted,

Øhristøpher M. Beck, Ph.D.

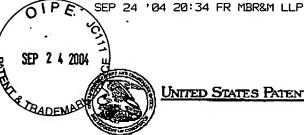
(Registration No. 52,603 Direct No. (202) 263-3374

MAYER BROWN ROWE & MAWLLP

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(202) 263-3300 Facsimile

Date: September 24, 2004



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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 10/796,292 03/10/2004 Kunwar Shailubhai 121634-40308707

00909 PILLSBURY WINTHROP, LLP P.O. BOX 10500 MCLEAN, VA 22102 CONFIRMATION NO. 9377
FORMALITIES LETTER
**OC00000013835899*

Date Mailed: 08/25/2004

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 08/02/2004 to the Notice to File Missing Parts (Notice) mailed 06/01/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$72 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$72 for a Large Entity

- Total additional claim fee(s) for this application is \$72
 - \$72 for 4 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

PAGE 4/5 * RCVD AT 9/24/2004 8:13:46 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/0 * DNIS:7464060 * CSID:000 * DURATION (mm-ss):01-54

09/27/2004 JBALINAN 00000089 503121 10796292

01 FC:1202

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE